

# IN THE UNITED STATES





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TITLE Optical Router

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SIR:

### **NEW APPLICATION UNDER 37 CFR § 1.53(b)**

Enclosed are the following papers relating to the above-named application for patent:

Specification

8 Informal Sheets of drawing(s)

1 Assignment(s) with Cover Sheet

Declaration and Power of Attorney

Information Disclosure Statement

CLAIMS AS FILED					
	NO. FILED	NO. EXTRA	RATE	CALCULATIONS	
Total Claims	27 - 20 =	7	x \$18 =	\$126	
Independent Claims	6 - 3 =	3	x \$78 =	\$234	
Multiple Dependent					
Claims, if applicable			+ \$260 =	\$0	
Basic Fee		•		\$690	
			TOTAL FEE	\$1050	

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Respectfully

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### **OPTICAL ROUTER**

### **CROSS-REFERENCE TO RELATED APPLICATIONS**

Related subject matter is disclosed in co-pending, commonly assigned, U.S. Patent application Serial Number 09/430318, filed on October 29, 1999.

### FIELD OF THE INVENTION

The present invention relates to communications, generally, and more particularly to an optical router well suited for Internet Protocol ("IP") traffic.

### **BACKGROUND OF THE INVENTION**

The rapid growth of Internet traffic has created an increasing need for more responsive inter-networking components. One component approaching its operative limits is the IP router. IP routers route packets of data traffic between destinations in an inter-network.

Presently, IP routers are electronic switch based devices. To date, electronic switch based IP routers having 16 input and 16 output channels are commercially available. Extending the number of input and output channels, however, has raised substantial challenges due to the space management issues, power and heat dissipation, as well as electromagnetic interference. It is believed that given their limited number of input and output channels, electronic switch based IP routers may very shortly reach their capacity to route inter-network traffic. As a result, optically based components, including IP routers, are being explored by industry. It is estimated that optical IP routers may soon be realized having 128 input and 128 output channels, and thus, greater overall throughput.

In view of the above, a demand exists for an optical IP router offering an increased number of input and output channels, and greater overall throughput.

### **SUMMARY OF THE INVENTION**

In co-pending, commonly assigned, U.S. Patent application of Brinkman et al., Serial Number 09/430,318, filed on October 29, 1999, an optical router is described for routing signals by determining an intended destination for a packet

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to be routed, appropriately "coloring" the packet according to a selected wavelength in a destination-specific manner, and then transmitting the colored packet through an optical frequency router. By this arrangement, the colored packet of data is routed to a desired destination.

We have invented an optical router having increased throughput over the hereinabove referenced U.S. patent application. Our optical router employs an optical frequency router for simultaneously receiving at least two signals which are differently "colored" – having different wavelengths - in one input port. The optical frequency router also simultaneously presents at least two routed signals which are differently "colored" – having different wavelengths - at one output port. By this arrangement, a number of colored packets of data may be simultaneously routed to each of their desired destinations through one port.

In an illustrative embodiment of the present invention, an optical router is disclosed. The optical router comprises a plurality of combiners, a plurality of receivers, and at least one optical frequency router for routing optical signals by their color. To increase the number of input and output channels of the optical router, at least one input port of the frequency router is coupled with one combiner for combining optical signals of different colors, while at least one output port of the optical frequency router is coupled with one receiver for separating and directing at least two frequency routed optical signals to their intended destinations.

These and other embodiments, advantages and objects will become apparent to skilled artisans from the following detailed description read in conjunction with the appended claims and the drawings attached hereto.

## 25 BRIEF DESCRIPTION OF THE DRAWINGS

The present invention will be better understood from reading the following description of non-limiting embodiments, with reference to the attached drawings, wherein below:

- FIG. 1 illustrates a first embodiment of the present invention;
- FIG. 2 illustrates a second embodiment of the present invention;
  - FIG. 3 illustrates a third embodiment of the present invention;

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- FIG. 4 illustrates a fourth embodiment of the present invention;
- **FIG. 5** illustrates a fifth embodiment of the present invention;
- **FIG. 6** illustrates a sixth embodiment of the present invention;
- FIG. 7 illustrates a converter according to an embodiment of the present invention; and
  - **FIG. 8** illustrates an optical frequency router device according to an embodiment of the present invention.

It should be emphasized that the drawings of the instant application are not to scale but are merely schematic representations, and thus are not intended to portray the specific parameters or the structural details of the invention, which can be determined by one skilled in the art by examination of the information herein.

### **DETAILED DESCRIPTION OF THE PRESENT INVENTION**

Referring to **FIG. 1**, an optical router **10** is illustrated according to a first embodiment of the present invention. Optical router **10** routes signals from a plurality of router input channels, **12**, **14**, **16** and **18**, to a plurality of router output channels, **38**, **40**, **42** and **44**. Router input channels, **12**, **14**, **16** and **18** each receive a signal to be routed. In an embodiment of the present invention, each signal to be routed is a packet of data employing an Internet Protocol ("IP") format, for example - other formats and standards, however, may also be used. Each IP packet of data has its intended destination residing therein, as will be better understood from the disclosure hereinbelow in association with **FIG. 7**.

Optical router **10** comprises an optical frequency router **20**. Optical frequency router **20** routes optical signals in response to their wavelength or color, as will be better understood from the disclosure hereinbelow in association with **FIG. 8**. Frequency router **20** has a plurality of input ports, **22** and **24**, and a plurality of output ports, **26** and **28**.

Optical router 10 also comprises a pair of input devices, 30 and 32. Input devices, 30 and 32, convert received packets of data into corresponding optical signals. Each optical signal, as converted, is "colored" in response to the intended destination information of the respective packet of data. The resultant optical

signal, as colored, has a wavelength corresponding with an intended output port, **26** or **28**, to which it is to be routed to by frequency router **20**.

Each input port, 22 and 24, of frequency router 20 is respectively coupled with an input device, 30 and 32. Likewise, each input device, 30 and 32, is coupled with a group of router input channels, 12 and 14, and, 16 and 18, respectively. Each input device, 30 and 32, may simultaneously receive more than one incoming packet of data from its respective router input channels. Consequently, each input device, 30 and 32, may combine more than one optical signal if they are of different wavelengths or colors.

Optical router 10 comprises a centralized scheduler (not shown) for scheduling the conversion of each received packet of data into an optical signal. The scheduler enables the coloring of each optical signal in response to the intended destination information of the corresponding packet of data, to avert overlap of optical signals having the same color, also referred to as collisions. In scheduling the conversion of packets of data, the scheduler may delay one packet of data relative to another if both are to be routed to the identical router output channel. Likewise, the scheduler may delay one packet relative to another if both are received by the same input device and are to be routed through the same output port of frequency router 20 to different destinations.

In the illustrative embodiment, a number of packets of data,  $1_a$  and  $2_a$ , are simultaneously received by input device 30, while a packet of data  $2_b$  is received by input device 32. Each respective input device, 30 and 32, converts these packets of data into corresponding optical signals,  $\lambda_{1a}$ ,  $\lambda_{2a}$  and  $\lambda_{2b}$  - each of which are colored in response to their destination. Input device 30, receiving packets of data,  $1_a$  and  $2_a$ , combines the converted optical signals,  $\lambda_{1a}$  and  $\lambda_{2a}$ , into a combined optical signal having two component signals. As a result, input port 22 simultaneously receives the combined optical signal. Likewise, input port 24 receives the converted optical signal  $\lambda_{2b}$ . Given its physical properties, frequency router 20 routes optical signals  $\lambda_{1a}$  and  $\lambda_{2a}$  from input port 22 to outputs ports 26 and 28, respectively, and optical signal  $\lambda_{2b}$  from input port 24 to output port 26.

Coupled with each output port, **26** and **28**, is a receiver, **34** and **36**. Each receiver, **34** and **36**, is also coupled with a group of router output channels, **38** and **40**, and, **42** and **44**, respectively. Each receiver, **34** and **36**, is capable of separating routed optical signal components combined by frequency router **20** at its respective output port. Each receiver, **34** and **36**, also presents - or, in other words, directs - each routed optical signal component to its respective intended destination. In the illustrative embodiment, receiver **34** simultaneously receives optical signals,  $\lambda_{1a}$  and  $\lambda_{2b}$ , from output port **26**, while receiver **36** receives optical signal  $\lambda_{2a}$  from output port **28**. Consequently, receiver **34** presents optical signals,  $\lambda_{1a}$  and  $\lambda_{2b}$ , to router output channels **38** and **40**, respectively, and receiver **36** presents optical signals,  $\lambda_{2a}$ , to router output channel **42**. Moreover, each receiver, **34** and **36**, also converts each received optical signal,  $\lambda_{1a}$ ,  $\lambda_{2a}$  and  $\lambda_{2b}$ , back into packets of data,  $\lambda_{2a}$ , and  $\lambda_{2b}$ , in the electrical domain.

Frequency router 20 comprises N input ports and N output ports, where N is equal to two (2) in the illustrative embodiment. Each input port of frequency router 20 is also capable of simultaneously receiving, N, or two (2) optical signals from a respective input device, while each output port is capable of simultaneously presenting, N, or two (2), optical signals to a respective receiver. Consequently, optical router 10 has  $N \times N$  router input channels and  $N \times N$  router output channels.

Referring to **FIG. 2**, an optical router **50** is illustrated according to a second embodiment of the present invention. While employing the same operational principles as optical router **10** of **FIG. 1**, the architecture of optical router **50** supports greater than NxN router input channels and NxN router output channels. Optical router **50** comprises an optical frequency router **80** having N input ports, **68**, **70** and **72**, and N output ports, **74**, **76** and **78**, where N is equal to three (3).

In the illustrative embodiment, input port  $\mathbf{68}$  is capable of simultaneously receiving M, or five (5), optical signals from an input device  $\mathbf{62}$  through router input channels  $\mathbf{52}$ ,  $\mathbf{54}$ ,  $\mathbf{56}$ ,  $\mathbf{58}$  and  $\mathbf{60}$ . Likewise, output port  $\mathbf{74}$  is capable of simultaneously presenting M, or five (5), optical signals to a receiver  $\mathbf{82}$ , and,

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thus, router output channels **88**, **90**, **92**, **94** and **96**. If the number of optical signals received by an input port exceeds the number of output ports, frequency router **80** routes two or more of these optical signals to the same output port. A data collision, however, may be avoided if these routed signals have different colors (wavelengths) and the ratio of their wavelengths satisfies the modal properties of frequency router **80**, as will be better understood from the disclosure hereinbelow in association with **FIG. 8**.

It should be apparent to skilled artisans from the hereinabove disclosure that input ports 70 and 72 may each also simultaneously receive M optical signals through input devices, 64 and 66, respectively, while output ports 76 and 78 may each also simultaneously present to their respective receivers, 84 and 86, M optical signals. Here, optical router 50 will have NxM router input channels and NxM router output channels. To effectively increase the number of input and output channels of optical router 50 with respect to optical router 10 of FIG. 1, M should be greater than N. The maximum number of input and output channels available to optical router 50 is primarily limited by the material properties of frequency router 80.

Referring to **FIG. 3**, an optical IP router **100** is illustrated according to a third embodiment of the present invention. Optical IP router **100** routes signals from a number of router input channels, **102**<sub>1</sub>-**102**<sub>M</sub>, **104**<sub>1</sub>-**104**<sub>M</sub>, and **106**<sub>1</sub>-**106**<sub>M</sub>, to a number of router output channels, **164**<sub>1</sub>-**164**<sub>M</sub>, **166**<sub>1</sub>-**166**<sub>M</sub>, and **168**<sub>1</sub>-**168**<sub>M</sub>. Router input channels, **102**<sub>1</sub>-**102**<sub>M</sub>, **104**<sub>1</sub>-**104**<sub>M</sub>, and **106**<sub>1</sub>-**106**<sub>M</sub>, each may receive an IP packet of data having its intended destination residing therein.

Optical router **100** comprises an optical frequency router **120** for routing optical signals in response to their wavelength or color. Optical router **100** also comprises a plurality of input devices, **110**<sub>1</sub>-**110**<sub>N</sub>. Each input device converts a number of received IP packets of data from a corresponding number of router input channels into a number of colored optical signals. Each optical signal, as converted, may be "colored" in response to the intended destination information of the respective packet of data.

To effectively convert each received IP packet of data, each input device comprises a number of converters, 112<sub>1</sub>-112<sub>M</sub>, 114<sub>1</sub>-114<sub>M</sub> and 116<sub>1</sub>-116<sub>M</sub>, each

corresponding with a router input channel, 102<sub>1</sub>-102<sub>M</sub>, 104<sub>1</sub>-104<sub>M</sub>, and 106<sub>1</sub>-106<sub>M</sub>. Each converter comprises a tunable laser ("TL") for generating a carrier monochromatic light beam having a particular wavelength or color selected in response to a received packet of data and controlled by a centralized scheduler 135, as will be better understood from the disclosure hereinbelow in association with FIG. 7. The carrier light beam is subsequently modulated by a modulator in response the received packet of data, resulting in an optical data signal having a color corresponding with the intended destination of received packet of data.

Each input device, 110<sub>1</sub>-110<sub>N</sub>, also comprises an optical power combiner, 118<sub>1</sub>-118<sub>N</sub>. Each combiner, 118<sub>1</sub>-118<sub>N</sub>, is coupled with a number of corresponding converters, 112<sub>1</sub>-112<sub>M</sub>, 114<sub>1</sub>-114<sub>M</sub> and 116<sub>1</sub>-116<sub>M</sub>, associated with a respective input device; 110<sub>1</sub>-110<sub>N</sub>. Each combiner combines simultaneously received optical signals, as colored. Consequently, a plurality of combined optical signals, 125<sub>1</sub>-125<sub>N</sub>, are output from each corresponding input device. It should be noted that scheduler 135 prevents each combiner from combining more than one optical signal of the same color.

Frequency router 120 comprises a plurality of input ports, 130<sub>1</sub>-130<sub>N</sub>, and a plurality of output ports, 140<sub>1</sub>-140<sub>N</sub>. Coupled with an input device, each input port may receive a combined optical signal. Frequency router 120 routes each component optical signal within a combined optical signal to an output port in response to the color of the respective signal. Thus, for example, if combined optical signal, 125<sub>1</sub>, comprising component optical signals from converted packets of data of each router input channel, 102<sub>1</sub>-102<sub>M</sub>, is received by input port 130<sub>1</sub>, frequency router 120 routes each component optical signal to one output port. If input ports 130<sub>2</sub>-130<sub>N</sub> also receive combined optical signals, a number of routed optical signals may also be simultaneously presented to a number of output ports. Given the characteristics of frequency router 120, the routed optical signals presented at an exemplary output port, 140<sub>1</sub>, will have different colors. Therefore, each output port may present a resultant optical signal, 145<sub>1</sub>-145<sub>N</sub>, comprising a number of component routed optical signals therein.

IP router 100 also comprises a plurality of output receivers, 150<sub>1</sub>-150<sub>N</sub>. Each receiver is coupled with an output port of frequency router 120 for receiving a resultant optical signal, 145<sub>1</sub>-145<sub>N</sub>. Each receiver, 150<sub>1</sub>-150<sub>N</sub>, comprises an optical power splitter, 152<sub>1</sub>-152<sub>N</sub>. Each splitter, 152<sub>1</sub>-152<sub>N</sub>, is coupled with a number of corresponding tunable filters ("TF"), 154<sub>1</sub>-154<sub>M</sub>, 156<sub>1</sub>-156<sub>M</sub> and 160<sub>1</sub>-160<sub>M</sub>, associated with a respective receiver, 150<sub>1</sub>-150<sub>N</sub>. Each splitter splits or divides the optical power of a corresponding resultant optical signal, 145<sub>1</sub>-145<sub>N</sub>, amongst its respective tunable filters.

By this arrangement, each tunable filter may receive a power divided representation of a resultant optical signal, 1451-145N. At least one tunable filter of the corresponding receiver is tuned to the color of a component optical signal of the resultant optical signal, through scheduler 135, in response to the intended destination of an original packet of data. The tuning of the tunable filter enables each component optical signal within a resultant optical signal to pass to its intended destination.

It should be noted that optical IP router 100 prevents the simultaneously routing of more than one IP packet of data received by one input device, 110<sub>1</sub>-110<sub>N</sub>, to one output receiver, 150<sub>1</sub>-150<sub>N</sub>. This limitation is based on the fact that both IP packets of data would require the same coloring to be routed to the same output receiver. To avert a data collision, in one embodiment, scheduler 135 introduces a delay between such IP packets of data. However, this potential limitation also enables optical IP router 100 to route one packet of data from one router input channel associated with one input device to any number of router output channels associated with one output receiver by setting some or all of the corresponding tunable filters to the same color. This routing feature, also referred to as partial multicasting, allows optical IP router 100 to route, for example, one packet of data from router input channel 102<sub>2</sub> to router output channels 166<sub>1</sub>-166<sub>M</sub>.

Referring to **FIG. 4**, an optical IP router **200** is illustrated according to a fourth embodiment of the present invention. Optical router **200** operates similarly to optical IP router **100** of **FIG. 3**. However, optical router **200** 

employs a two stage routing architecture to replace tunable filters,  $154_1-154_M$ ,  $156_1-156_M$  and  $160_1-160_M$ .

The two stage architecture of optical router 200 comprises a first and a second stage optical frequency router, 220 and 260, for routing packets of data from a number of router input channels, 202<sub>1</sub>-202<sub>M</sub>, 204<sub>1</sub>-204<sub>M</sub>, and 206<sub>1</sub>-206<sub>M</sub>, to a number of router output channels, 280<sub>1</sub>-280<sub>M</sub>, 282<sub>1</sub>-282<sub>M</sub>, and 284<sub>1</sub>-284<sub>M</sub>. Optical router 200 comprises a plurality of first stage input devices, 210<sub>1</sub>-210<sub>N</sub>. Each input device, 210<sub>1</sub>-210<sub>N</sub>, much like the input devices, 110<sub>1</sub>-110<sub>N</sub>, of FIG. 3, comprises a number of converters, 212<sub>1</sub>-212<sub>M</sub>, 214<sub>1</sub>-214<sub>M</sub>, and 216<sub>1</sub>-216<sub>M</sub>. Each converter comprises a tunable laser ("TL") for converting a received IP packet of data into an optical signal colored in response to its intended destination. Each input device also comprises a combiner, 218<sub>1</sub>-218<sub>N</sub>, for combining the colored optical signals into a combined optical signal of a number of colors, and a scheduler 235 for preventing more than one optical signal of the same color from being combined. A combined optical signal, 222<sub>1</sub>-222<sub>N</sub>, may be generated by each corresponding input device.

First stage frequency router 220 comprises a plurality of input ports, 225<sub>1</sub>-225<sub>N</sub>, and a plurality of output ports, 230<sub>1</sub>-230<sub>N</sub>. Each input port is associated with one input device to receive one combined optical signal, 222<sub>1</sub>-222<sub>N</sub>. First stage frequency router 220 routes each optical signal component within a combined optical signal to an output port in response to its color. As such, each output port may have a resultant optical signal, 238<sub>1</sub>-238<sub>N</sub>, comprising a number of simultaneously presented routed optical signals each having a different color.

Optical router **200** also comprises a plurality of second stage input devices, **240**<sub>1</sub>-**240**<sub>N</sub>, each for coupling an output port of first stage frequency router **220** with an input port, **255**<sub>1</sub>-**255**<sub>N</sub>, of second stage frequency router **260**. Each second stage input device, **240**<sub>1</sub>-**240**<sub>N</sub>, comprises a second stage demultiplexer, **242**<sub>1</sub>-**242**<sub>N</sub>, for demultiplexing a resultant optical signal, **238**<sub>1</sub>-**238**<sub>N</sub>, into a number of component, once routed, optical signals. Each second stage input device also comprises a number of second stage converters, **244**<sub>1</sub>-**244**<sub>M</sub>, **246**<sub>1</sub>-**246**<sub>M</sub>, and **248**<sub>1</sub>-**248**<sub>M</sub>, and a second stage combiner, **250**<sub>1</sub>-**250**<sub>N</sub>,

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resulting in combined second stage optical signals, 252<sub>1</sub>-252<sub>N</sub>. By this arrangement, each once routed optical signal component is directed to a specific second stage converter in response to its color. Each second stage converter, in response to the intended destination of the original packet of data, re-colors the received once routed optical signal component, to form a second stage optical signal.

It should be noted that each second stage converter converts a received demultiplexed routed optical signal into an electrical signal. Each second stage converter recreates the once routed optical signal component as a second stage optical signal from the electrical representation created. In response to the intended destination of the original received packet of data, the second stage optical signal is colored, as controlled by scheduler 235.

Scheduler 235 may be realized using a centralized design. Alternatively, scheduler 235 may comprise a number of independent schedulers. Here, each input device, 210<sub>1</sub>-210<sub>N</sub>, and each second stage input device, 240<sub>1</sub>-240<sub>N</sub>, has an associated independent scheduler. Each independent scheduler controls the routing of packets of data corresponding with its associated input device. As a consequence, each independent scheduler need not interact with any other independent scheduler, thereby simplifying the scheduling computations associated with a centralized scheme.

Each input port, 255<sub>1</sub>-255<sub>N</sub>, may receive one combined second stage optical signal, 252<sub>1</sub>-252<sub>N</sub>. Second stage frequency router 260 routes each component of the combined second stage optical signals, 252<sub>1</sub>-252<sub>N</sub>, as received by an associated input port, according to their color. As such, each second stage routed optical signal, 268<sub>1</sub>-268<sub>N</sub>, comprises routed components of different second stage optical signals, 252<sub>1</sub>-252<sub>N</sub>. The resultant routed optical signals, 268<sub>1</sub>-268<sub>N</sub>, are presented by each output port, 265<sub>1</sub>-265<sub>N</sub>, to a corresponding output stage receiver, 270<sub>1</sub>-270<sub>N</sub>.

Each output stage receiver,  $270_1$ - $270_N$ , comprises an output stage demultiplexer,  $272_1$ - $272_N$ , for demultiplexing one resultant routed optical signal,  $268_1$ - $268_N$ . Each resultant routed optical signal,  $268_1$ - $268_N$ , may have a number of component optical signals therein. As such, each component of the

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resultant routed optical signals is directed to a particular router output channel, 280<sub>1</sub>-280<sub>M</sub>, 282<sub>1</sub>-282<sub>M</sub>, and 284<sub>1</sub>-284<sub>M</sub>, and thus, presented to the intended destination of the original packet of data.

Referring to **FIG. 5**, an optical IP router **300** is illustrated according to a fifth embodiment of the present invention. Optical router **300** operates similarly to optical IP router **200** of **FIG. 4**. However, optical router **300** enables one packet of data received by any one router input channel to be to be simultaneously routed to any number of router output broadcasting channels. This feature is defined as full multicasting or router broadcasting.

To realize this full multicasting feature, optical router 300 comprises a first and a second stage optical frequency router, 320 and 370, for routing packets of data, between a number of router input channels, 302<sub>1</sub>-302<sub>N</sub>, 304<sub>1</sub>-304<sub>N</sub>, and 306<sub>1</sub>-306<sub>N</sub>, and a number of router output channels, 396<sub>1</sub>-396<sub>M</sub>, 398<sub>1</sub>-398<sub>M</sub>, and 400<sub>1</sub>-400<sub>M</sub>. Optical router 300 comprises a plurality of first stage input devices, 310<sub>1</sub>-310<sub>N</sub>. Each input device, 310<sub>1</sub>-310<sub>N</sub>, comprises a number of fixed lasers ("FL"), 312<sub>1</sub>-312<sub>M</sub>, 314<sub>1</sub>-314<sub>M</sub>, and 316<sub>1</sub>-316<sub>M</sub>, for converting a received IP packet of data into an optical signal.

Unlike the previous embodiments, each optical signal may be colored in response to which fixed laser, and thus which router input channel, receives the IP packet of data. For example, each packet of data received by router input channel, 302<sub>2</sub>, and fixed laser 312<sub>1</sub>, should be similarly colored. Each input device, 310<sub>1</sub>-310<sub>N</sub>, also comprises a multiplexer, 318<sub>1</sub>-318<sub>N</sub>, for multiplexing, or in other words, combining each of the optical signals received from the corresponding fixed lasers, and for generating a multiplexed or combined optical signal, 322<sub>1</sub>-322<sub>N</sub>.

First stage frequency router, **320**, comprises a plurality of input ports, **325**<sub>1</sub>-**325**<sub>N</sub>, each for receiving a multiplexed optical signal, **322**<sub>1</sub>-**322**<sub>N</sub>, from an input device. Frequency router, **320**, routes each component optical signal of the received multiplexed signals, **322**<sub>1</sub>-**322**<sub>N</sub>, according to its color. Frequency router, **320**, at each output port, **325**<sub>1</sub>-**325**<sub>N</sub>, presents a resultant routed optical signal, **338**<sub>1</sub>-**338**<sub>N</sub>, each of which may comprise routed components of other multiplexed signals, **322**<sub>1</sub>-**322**<sub>N</sub>.

Each output port, 330<sub>1</sub>-330<sub>N</sub>, is coupled with a second stage receiver, 340<sub>1</sub>-340<sub>N</sub>. Each second stage receiver comprises an optical power splitter, 342<sub>1</sub>-342<sub>N</sub>, for splitting one received resultant routed optical signal from the corresponding output port amongst a number of tunable filters ("TF"), 344<sub>1</sub>-344<sub>M</sub>, 348<sub>1</sub>-348<sub>M</sub>, and 352<sub>1</sub>-352<sub>M</sub>. Each tunable filter receives a power divided representation of a resultant routed optical signal, 338<sub>1</sub>-338<sub>N</sub>, and may be tuned to the color of a component optical signal in response to the intended destination of an original packet of data by a centralized scheduler 335. Each tunable filter may pass a component optical signal from resultant routed optical signal, 338<sub>1</sub>-338<sub>N</sub>, to a corresponding fixed laser, 346<sub>1</sub>-346<sub>M</sub>, 350<sub>1</sub>-350<sub>M</sub>, 354<sub>1</sub>-354<sub>M</sub>. Each fixed laser converts or re-colors a component optical signal, as passed by a corresponding tuned filer. Here, the resultant optical signal may be colored according to which fixed laser receives the component optical signal. For example, each component optical signal passed by tunable filter 344<sub>2</sub> to fixed laser 346<sub>2</sub> will be colored identically.

Each output device, 340<sub>1</sub>-340<sub>N</sub>, also comprises a multiplexer, 356<sub>1</sub>-356<sub>N</sub>, for multiplexing each of the optical signals received from the corresponding second stage fixed lasers, and for generating a second stage multiplexed optical signal, 358<sub>1</sub>-358<sub>N</sub>. Further, each second stage multiplexed optical signal is received by one input port, 365<sub>1</sub>-365<sub>N</sub>, of second stage frequency router, 370. Frequency router, 370, routes each component optical signal of the received second stage multiplexed signals, 358<sub>1</sub>-358<sub>N</sub>, according to its color. Frequency router, 370, at each output port, 375<sub>1</sub>-375<sub>N</sub>, presents a final stage optical signal, 378<sub>1</sub>-378<sub>N</sub>. Each final stage optical signal may comprise routed components from other second stage multiplexed signals, 358<sub>1</sub>-358<sub>N</sub>.

Each output port, 3751-375N, is coupled with an output stage receiver, 3801-380N. Each output stage receiver comprises a power splitter, 3821-382N, for splitting a final stage optical signal, 3781-378N, as received from the corresponding output port, amongst a number of associated output stage tunable filters, 3841-384M, 3881-388M, and 3921-392M. Each tunable filter receives a power divided representation of a final stage optical signal, 3781-378N. One or more tunable filters may be tuned to the color of a component optical signal,

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though a scheduler 335, in response to the intended destination of an original packet of data. Consequently, the passed optical signal may be effectively routed to its intended destination via router output channels,  $396_1$ - $396_M$ ,  $398_1$ - $398_M$ , and  $400_1$ - $400_M$ .

Referring to **FIG. 6**, an optical IP router **450** is illustrated according to a sixth embodiment of the present invention. Optical IP router **450** is an alternative configuration of optical IP router **200** of **FIG. 4**, employing one optical frequency router **480**. It should be noted that optical IP router **300** of **FIG. 5** may also be modified in accordance with the configuration of optical IP router **450**.

Frequency router **480** comprises a number of ports, **475**<sub>1</sub>-**475**<sub>N</sub> and **485**<sub>1</sub>-**485**<sub>N</sub>, each of which function in a dual role: (1) for receiving combined optical signals from a number of tunable lasers; and (2) for presenting a routed optical signal comprising a number of differently colored optical signals. Moreover, frequency router **480** employs a number of circulators, **465**<sub>1</sub>-**465**<sub>N</sub> and **470**<sub>1</sub>-**470**<sub>N</sub>, each of which coupled with one port. Known to skilled artisans, optical circulators functionally separate optical signals propagating in different directions. Each circulator enables a combined optical signal and a routed optical signal having various component signals to propagate through the same port, unobstructed.

For example, a packet of data is received by a converter, 4551, to produce an optical signal, 4581, colored in response to its destination. Optical signal, 4581, is received by combiner 4602, where it may be combined with other optical signals, resulting in a combined optical signal 4622. Circulator 4652 allows combined optical signal 4622 to propagate to port 4752, while a routed optical signal, 4682, is presented to circulator 4652 from port 4752. Routed optical signal, 4682, is directed by circulator 4652 to a demultiplexer, 4902, for example, and directed to a number of light detecting devices, including device 4952.

Referring to **FIG.** 7, a converter **500** is illustrated as may employed in the present invention. Converter **500** receives packets of data, each of which may employ an Internet Protocol ("IP") format. Each IP packet of data comprises a

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header containing source and destination identifiers, as well as a data portion. The source identifier identifies the source or origin of the packet of data, while the destination identifies the intended destination of the specific packet. The data portion of the packet contains the data to be conveyed from the source to the destination. Using converter **500**, each packet of data may be "colored" according to its destination. More specifically, an appropriate wavelength or color of light is selected for each packet of data to enable its transport through an optical frequency router to a desired output port.

Converter **500** comprises a tunable transmitter **515** and a modulator **520**. Tunable transmitter **515** may be realized by various components including a tunable laser. Upon determining the destination of each packet of data and selecting an appropriate color, tunable transmitter **515** is tuned to the appropriate color and the packet of data may be modulated by modulator **520** into an optical packet signal of the designated appropriate color. The resultant colored optical packet of data is thereafter output to a combiner, as detailed hereinabove.

The selection of an appropriate color for each packet of data in view of its destination is controlled by central scheduler **525**. Central scheduler **525** protects against data collisions if two packets of data within two converters of an input device, **110**<sub>1</sub> of **FIG. 3**, for example, have the same destination. In such circumstances, one packet of data may be delayed by a memory device, such as a buffer **510**, before being colored.

In another embodiment of the present invention, converter **500** comprises a light detector **505**, such as a photodiode, for converting an optical packet of data into an electrical representation. The resultant electrical signal may be fed into a buffer **510** for temporary storage. Buffer **510** relays the electrical signal to modulator **520**, in response to scheduler **525**, to enable the carrier light beam from laser **515** to be modulated.

Referring to **FIG. 8**, an optical frequency router **600** is illustrated as employed in the present invention. Optical frequency router **600** is a class of electro-optical components proposed for routing optical signals. Various realizations are available presently, including a Waveguide Grating Router

("WGR"), as is disclosed in United States Patent Numbers 5,002,350 and 5,136,671, and hereby incorporated by reference.

Frequency router **600** comprises an interconnecting apparatus having a plurality of input ports, **605**<sub>1</sub>-**605**<sub>N</sub>, each of which are connected to a free space region **610**. Frequency router **600** further comprises a plurality of output waveguides **620** extending from free space region **610**. Each output waveguides **620** of the plurality is coupled with an optical grating **630**. Optical grating **630** comprises a plurality of unequal length waveguides. The differing lengths of these waveguides cause distinct relative phase delays between optical signals propagating through optical grating **630**. Each waveguide of the plurality of unequal length waveguides is coupled with an input waveguide of a plurality of input waveguide **640**. Each input waveguide is connected to a second free space region **650**. Extending from second free space region **650** is a plurality of output ports, **655**<sub>1</sub>-**655**<sub>N</sub>. Typically, input and output ports, **605**<sub>1</sub>-**605**<sub>N</sub> and **655**<sub>1</sub>-**655**<sub>N</sub>, are respectively formed from waveguides.

It should be apparent to skilled artisans that frequency router **600** may operate as a multiplexer and/or a demultiplexer of optical frequencies. Thus, if an optical signal having an amplitude A is received by input port **605**<sub>1</sub>, a number of optical signals are produced at the output ports **655**<sub>1</sub>-**655**<sub>N</sub>. The optical signals at the output ports **655**<sub>1</sub>-**655**<sub>N</sub> have corresponding amplitudes of AT<sub>1</sub>, AT<sub>2</sub>, AT<sub>N</sub>, where T<sub>i</sub> is the value of the transmission coefficient for input port **605**<sub>1</sub> and each output port **655**<sub>1</sub>-**655**<sub>N</sub>. Furthermore, from these properties, frequency router **600** may direct an optical signal received by an input port, **605**<sub>1</sub>-**605**<sub>N</sub>, into an output port, **655**<sub>1</sub>-**655**<sub>N</sub>, in response to its wavelength or color.

While the particular invention has been described with reference to illustrative embodiments, this description is not meant to be construed in a limiting sense. It is understood that although the present invention has been described, various modifications of the illustrative embodiments, as well as additional embodiments of the invention, will be apparent to skilled artisans upon reference to this description without departing from the spirit of the invention, as recited in the claims appended hereto. It is therefore contemplated

that the appended claims will cover any such modifications or embodiments as fall within the true scope of the invention.

### **CLAIMS**

- 1 1. An optical router comprising at least one frequency router having a
- 2 plurality of input ports and a plurality of output ports, at least one input port
- 3 simultaneously receives at least two optical signals to be frequency routed, and at
- 4 least one output port simultaneously presenting at least two frequency routed
- 5 optical signals, wherein each optical signal to be frequency routed is colored in
- 6 response to destination information.
- 1 2. The optical router of Claim 1, further comprising:
- a plurality of combiners, one combiner for combining the at least two
- 3 optical signals to be routed; and
- a plurality of receivers, one receiver for separating each of the at least two
- 5 routed optical signals to intended destinations in response to destination
- 6 information.
- 1 3. The optical router of Claim 2, wherein the frequency router routes optical
- 2 signals by color, the at least two optical signals to be routed having different
- 3 colors, and the at least two routed optical signals having different colors.

- 1 4. The optical router of Claim 3, wherein the optical router receives packets
- 2 of data, each packet of data having destination information, each combiner
- 3 coupled with at least one converter of a plurality, each converter converting at
- 4 least one packet of data to an optical signal colored in response to the destination
- 5 information of the corresponding at least one packet of data.
- 1 5. The optical converter of Claim 4, wherein the frequency router comprises:
- 2 at least one input waveguide;
- 3 at least one output waveguide;
- a first and a second free space region, the first free space region coupled
- 5 with the at least one input waveguide and the second free space region
- 6 coupled with the at least one output waveguide; and
- an optical grating having a plurality of unequal length waveguides, each
- 8 unequal length waveguide coupled between the first free space region and
- 9 the second free space region.
- 1 6. The optical router of Claim 5, wherein each receiver comprises:
- 2 at least two tunable filters; and
- 3 at least one splitter for splitting the at least two routed optical signals
- 4 between the at least two tunable filters such that at least one of the at least
- 5 two tunable filters is tuned to pass one of the at least two routed optical
- 6 signals to an intended destination.

routed; and

- 1 7. The optical router of Claim 5, wherein each receiver comprises: 2 at least two second stage converters; at least one demultiplexer for separating each of the at least two routed 3 optical signals into one of the at least two second stage converters, each 4 second stage converter converting one of the routed optical signals to a 5 second stage optical signal colored in response to the destination 6 information of the corresponding at least one packet of data; and 7 at least one second stage combiner for combining second stage optical 8 signals into a combined second stage optical signal to be frequency routed. 9 1 8. The optical router of Claim 7, further comprising: a second stage frequency router having a plurality of second stage input 2 ports and a plurality of second stage output ports, one second stage input 3 port receiving the combined second stage optical signal to be frequency 4
- a plurality of output stage demultiplexers, each output stage demultiplexer being coupled one second stage output port of the second stage frequency router such that each second stage optical signal of the combined routed second stage optical signal is presented to an intended destination.

- 9. An optical router for routing a plurality of packets, N, of data, each packet
  of data having destination information, the optical router comprising:
- a plurality of converters, each converter receiving a packet of data and providing an optical signal to be routed, each optical signal being colored in response to the destination information of the respective packet of data;
- a plurality of combiners, one combiner combining at least two optical signals to be routed;
- at least one frequency router having a plurality of input ports, M, and a plurality of output ports, M, at least one output port simultaneously receiving the at least two optical signals to be routed, and at least one output port simultaneously presenting at least two routed optical signals, the at least one frequency router routing optical signals by color; a plurality of receivers; and
- a plurality of splitters, one splitter splitting the at least two routed optical signals between at least two receivers such that at least one of the at least two receivers is tuned to pass one of the at least two routed optical signals to an intended destination.
- 1 10. The optical router of Claim 9, wherein each converter comprise a tunable
- 2 light source for generating one optical signal, and for coloring the one optical
- 3 signal in response to the destination information of the respective packet of data,
- 4 and wherein each receiver comprises a tunable filter for tuning to a color to pass
- 5 one of the at least two routed optical signals to an intended destination.

- 1 11. The optical router of Claim 10, wherein each converter comprises a converter for converting at least one packet of data to the one optical signal colored in response to destination information, and wherein each receiver comprises a converter for converting a routed optical signal into a routed packet of data.
- 1 12. The optical router of Claim 11, further comprising a scheduler for scheduling the conversion each packet of data into an optical signal and for scheduling the tuning of the tunable filter.
- 1 13. The optical converter of Claim 11, wherein the frequency router comprises:
- 2 at least one input waveguide;
- 3 at least one output waveguide;
- a first and a second free space region, the first free space region coupled with the at least one input waveguide and the second free space region coupled with the at least one output waveguide; and
- an optical grating having a plurality of unequal length waveguides, each unequal length waveguide coupled between the first free space region and the second free space region.

1	14.	An optical router for routing a plurality of packets, N, of data, each packet
2	of data	having destination information, the optical router comprising:
3		a plurality of first stage converters, each converter receiving a packet of
4		data and providing an optical signal to be routed, each optical signal being
5		colored in response to the destination information of the respective packet
6		of data;
7		a plurality of first stage combiners, one combiner combining at least two
8		optical signals to be routed;
9		a first stage frequency router having a plurality of input ports, M, and a
10		plurality of output ports, M, at least one output port simultaneously
11		receiving the combined at least two optical signals to be routed, and at
12		least one output port simultaneously presenting at least two first stage
13		routed optical signals, the first stage frequency router routing optical
14	i	signals by color;
15		a plurality of second stage converters, each second stage converter
16		providing a second stage optical signal to be routed, each second stage
17		optical signal being colored in response to the destination information of
18	1	the respective packet of data;
19	8	a plurality of second stage demultiplexers, one second stage demultiplexer
20		presenting each of the at least two routed optical signals from the first
21	S	stage frequency router to a second stage converter;
22	a	a plurality of second stage combiners, one second stage combiner
23		combining at least two second stage optical signals to be routed; and

a second stage frequency router having a plurality of second stage input ports, M, and a plurality of second stage output ports, M, at least one second stage input port simultaneously receiving at least two second stage optical signals to be routed, and at least one second stage output port simultaneously presenting at least two second stage routed optical signals, the second stage frequency router routing second stage optical signals by color.

- 1 15. The optical router of Claim 14, further comprising a plurality of output
- 2 stage receivers, each output stage receiver having an output stage demultiplexer,
- 3 one output stage demultiplexer presenting each of the at least two second stage
- 4 routed optical signals from the second stage frequency router to an intended
- 5 destination.

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- 1 **16.** The optical router of Claim **14**, further comprising a plurality of output stage receivers, each output stage receiver comprising:
- at least two tunable filters for tuning to a color; and
- a splitter coupled with the at least two tunable filters, wherein one output stage receiver splits the at least two second stage routed optical signals between the corresponding at least two tunable filters such that at least one of the at least two tunable filters is tuned to pass one of the at least two
- 8 second stage routed optical signals to an intended destination.

- The optical router of Claim 14, wherein each first stage converter 17. 1 comprises a first stage tunable light source for generating one optical signal, and 2 for coloring the one optical signal in response to the destination information of 3 the respective packet of data, each second stage converter comprises a second 4 stage tunable light source for generating one second stage optical signal, and for 5 coloring the one second stage optical signal in response to the destination 6 information of the respective packet of data, and further comprising a scheduler 7 for scheduling the coloring of each optical signal and each second stage optical 8 signal. 9
- 1 18. The optical router of Claim 17, wherein each first stage converter comprises a first stage converter for converting at least one packet of data to the one optical signal colored in response to destination information of the respective packet of data, each second stage converter comprises a second stage converter for coloring one second stage optical signal in response to destination information of the respective packet of data.
- 1 19. The optical router of Claim 14, wherein at least one of the first and the second stage frequency routers comprise:
- 3 at least one input waveguide;
- 4 at least one output waveguide;
- a first and a second free space region, the first free space region coupled with the at least one input waveguide and the second free space region coupled with the at least one output waveguide; and

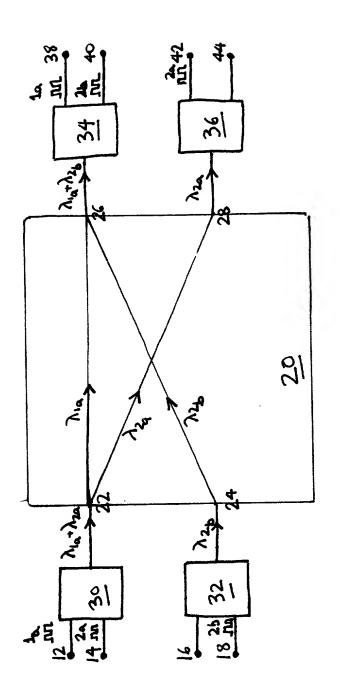
8	an optical grating having a plurality of unequal length waveguides, each		
9	unequal length waveguide coupled between the first free space region and		
10	the second free space region.		
1.	20. The optical router of Claim 14, wherein the first and the second		
2	stage frequency routers are formed by one frequency.		
1	21. A method for routing optical signals comprising:		
2	determining a first, second and third destination for a first, second and		
3	third packet of data, respectively;		
4	generating a first, second and third carrier signal having a first, second		
5	and third frequency associated with the first, second and third		
6	destinations, respectively;		
7	modulating the first, second and third carrier signals in response to the		
8	first, second and third packets of data to form a first, second and third		
9	optical signal; and		
10	routing the first, second and third optical signals by a frequency routing		
11	device, the routing comprising:		
10	simultaneously receiving in a first input of a frequency router at		
12			
13	least two of the first, second and third signals; and		
14	simultaneously presenting from a first output of the frequency		
15	router at least two of the first, second and third routed optical		
16	signals.		

- 1 22. A method for routing a plurality of optical signals as a function of color
- 2 through a router having a plurality of inputs and a plurality of outputs, the
- 3 method comprising the steps of:
- 4 simultaneously receiving to at least one of the input ports at least two optical
- 5 signals respectively colored as a function of destination information contained
- 6 therein; and
- 7 simultaneously presenting from at least one of the output ports at least two
- 8 optical signals routed as a function of their color.
- 1 23. The method of Claim 22, further comprising the step of processing each of
- 2 the presented at least two routed optical signals from the at least one of the
- 3 output ports.
- 1 24. The method of Claim 22, wherein the step of simultaneously applying to at
- 2 least one of the input ports comprises the step of coloring each optical signal of
- 3 the plurality is a further function of which input port of the plurality it is applied
- 4 to.

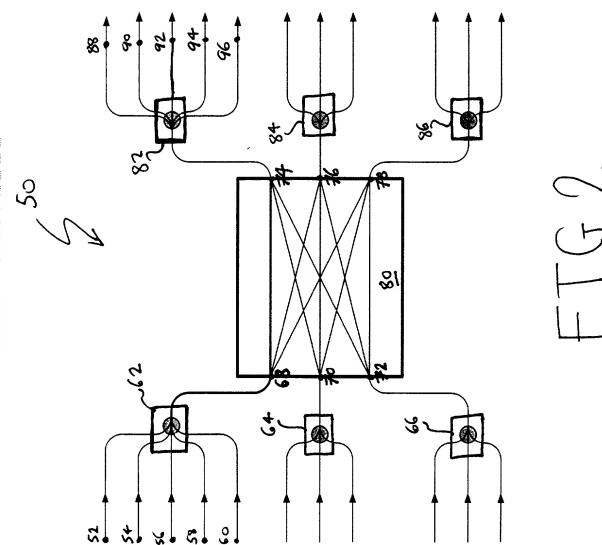
- 1 25. A method for use in conjunction with a router which has a plurality of
- 2 input ports and a plurality of output ports, said router being of a type which
- 3 routes optical signals applied to its input ports to particular ones of said output
- 4 ports as a function of the respective colors of said optical signals, the method:
- applying each of a plurality of optical signals to a respective one of the input ports, this step including the step of concurrently applying to an individual one of said input ports at least two optical signals which have been respectively colored as a function of destination information contained in said optical signals, at least two of said optical signals being concurrently routed to a particular one of said output ports.
  - 1 **26**. The invention of claim **25**, comprising the further step of concurrently
- 2 removing from said particular one of said output ports said two optical signals
- 3 concurrently routed thereto.
- 1 27. The invention of claim 25, wherein the coloring of each said optical signal
- 2 is a further function of which input port it is applied to.

### **ABSTRACT**

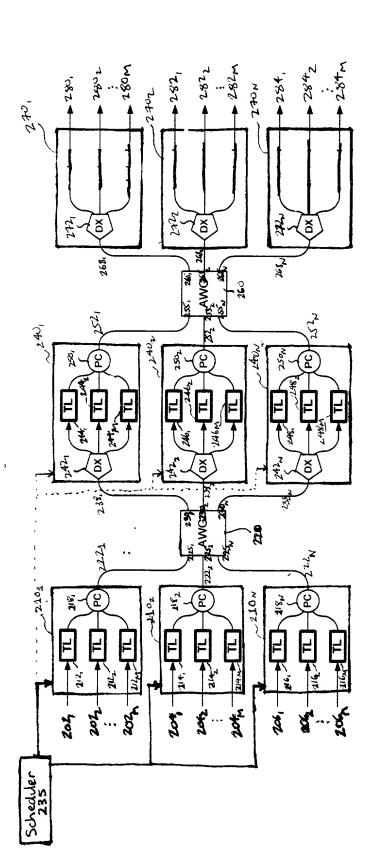
An optical router is disclosed having at least one frequency router. The frequency router includes a plurality of input ports and a plurality of output ports. At least one input port simultaneously receives at least two optical signals to be frequency routed, while at least one output port simultaneously presents at least two frequency routed optical signals. Each optical signal to be frequency routed is colored in response to destination information.

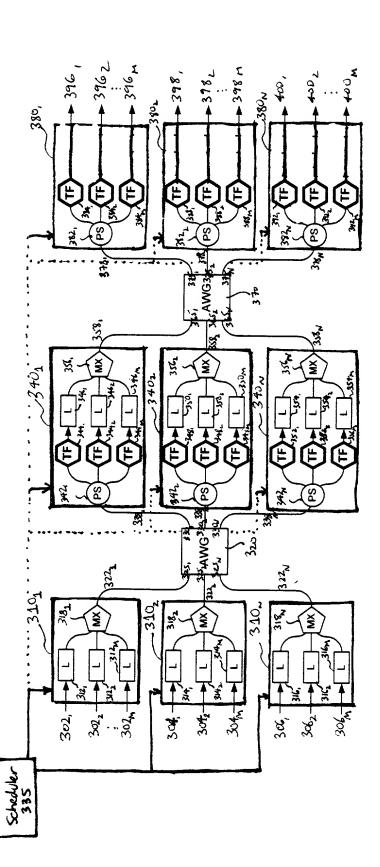


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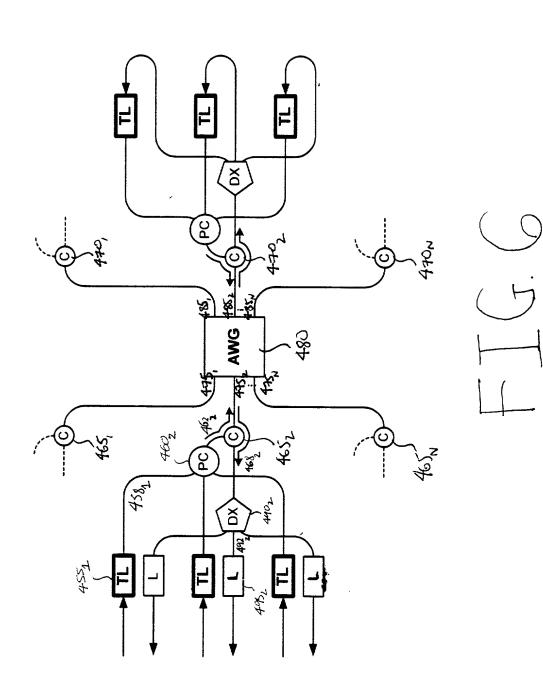


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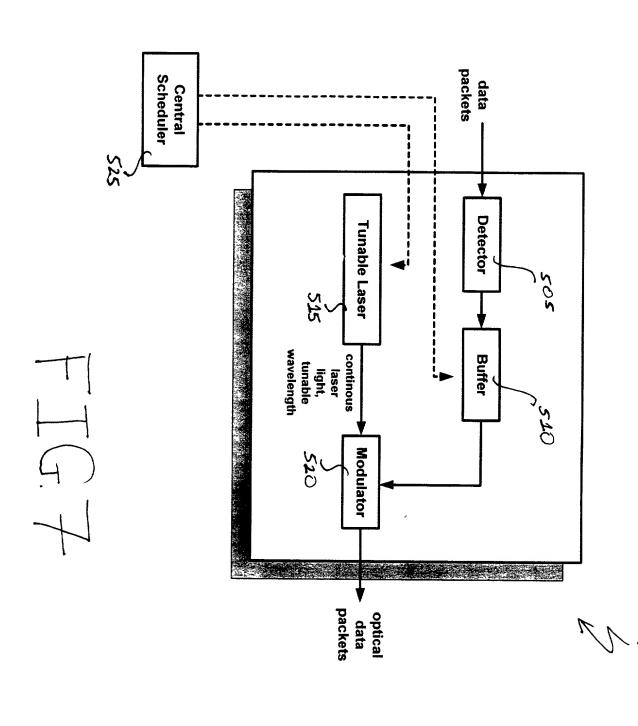


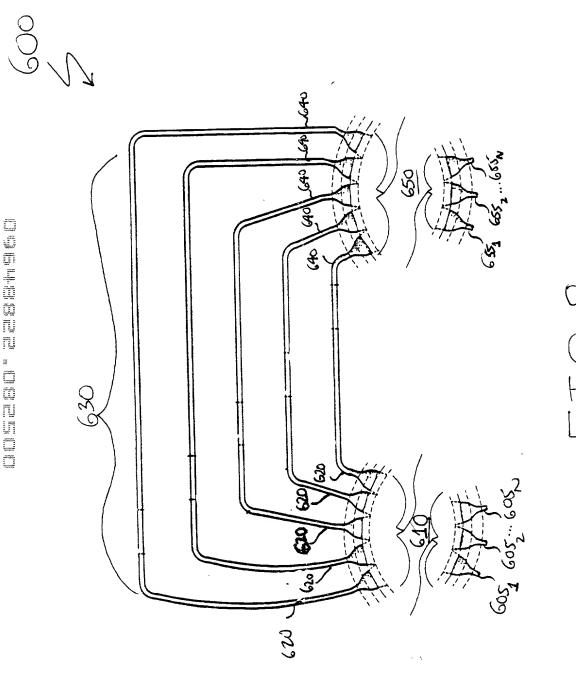


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# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

### **Declaration and Power of Attorney**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled **Optical Router** the specification of which is attached hereto.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by an amendment, if any, specifically referred to in this oath or declaration.

I acknowledge the duty to disclose all information known to me which is material to patentability as defined in Title 37, Code of Federal Regulations, 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

### None

I hereby claim the benefit under Title 35, United States Code, 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, 112, I acknowledge the duty to disclose all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

### None

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

I hereby appoint the following attorney(s) with full power of substitution and revocation, to prosecute said application, to make alterations and amendments therein, to receive the patent, and to transact all business in the Patent and Trademark Office connected therewith:

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